

Prepared from  
FORM PTO-1390Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)

Attorney's Docket No.:	GK-GEY-1069	
U.S. Application No.:		
International Application No.:	PCT/EP99/05542	
International Filing Date:	JULY 30, 1999	30 JULY 1999
Priority Date Claimed:	AUGUST 04, 1998	04 AUGUST 1998
Title of Invention:	DEVICE FOR TRANSPORTING AND HANDLING MICROTITER PLATES	
Applicant(s) for (DO/EO/US):	Richard AMELING, Thilo ENDERLE, Christof FATTINGER, Martin GLUCH and Hansjoerg TSCHIRKY	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
 [] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.  
 [X] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and  
 [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.  
 [] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]  
     a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)  
     b) ☐ has been transmitted by the international Bureau  
     c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)  
 [X] 6. A translation of the International Application (Publication No WO 00/08473) into English [35 U.S.C. 371(c)(2)]  
 [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]  
     a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)  
     b) ☐ have been transmitted by the International Bureau  
     c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.  
     d) ☐ have not been made and will not be made  
 [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]  
 [X] 9. UNSIGNED oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **Executed Declaration/POA - TO FOLLOW**  
 [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

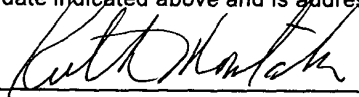
Items 11. to 16. below concern other document(s) or information included:

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98  
 [] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.  
 [X] 13. ☒ A **FIRST** preliminary amendment with Abstract  
     ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment  
 [] 14. A substitute specification  
 [] 15. A change of power of attorney and/or address letter  
 [X] 16. (other items or information) **Request (PCT/RO/101); PCT/IB/301 27SEP99; PCT/IB/304 6OCT99; PCT/IB/308 17FEB00; Publication No. WO 00/08473 17FEB00; Search Report, PCT/ISA/220 and 210 24NOV99, PTO-1449 with 7 cited documents.**

EXPRESS MAIL No.: EL 138 360 301 US

Deposited: April 4, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.



/Ruth Montalvo Date: April 4, 2000

CALCULATIONS PTO USE ONLY

☒ 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

<input checked="" type="checkbox"/>	Search Report has been prepared by the EPO or JPO.....	\$	840.00
<input type="checkbox"/>	International preliminary examination fee paid to USPTO [37 CFR 1.482].....	\$	670.00
<input type="checkbox"/>	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)].....	\$	690.00
<input type="checkbox"/>	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO.....	\$	970.00
<input type="checkbox"/>	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4).....	\$	96.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$ 840.00

Claims	Number filed		Number extra	Rate
Total Claims (Prel. Amt)	4	-20		x \$ 18. =
Indep. Claims	1	-03		x \$ 78. =

☐ Multiple Dependent Claim(s) (if applicable) + \$260. =

TOTAL OF ABOVE CALCULATIONS:

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date [37 CFR 1.492(e)]

\$ .00

TOTAL OF ABOVE CALCULATIONS:

\$ 840.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must be  
filed. [Note 37 CFR 1.9, 1.27, 1.28]

\$ .00

SUBTOTAL:

\$ 840.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date [37 CFR 1.492(f)]

\$ .00

TOTAL NATIONAL FEE:

\$ 840.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be  
accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

\$ .00

TOTAL FEE(S):

\$ 840.00

AMOUNTS TO BE  
REFUNDED OR CHARGED

REFUNDED \$  
CHARGED \$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

☒ Check in the amount of \$ 840.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to  
charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)

**NOTE:** Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)  
or (b)] must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Gerald H. Kiel, Esq.  
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261 Madison Avenue  
New York, NY 10016-2391

Gerald H. Kiel

Name (Tel. (212) 986-4090)

Signature

25,116  
Reg. No.

April 4, 2000  
Date

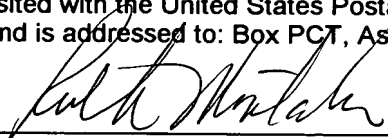
09/50/21

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EXPRESS MAIL No.: EL 138 360 301 US

Deposited: April 4, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Box PCT, Assistant Commissioner for Patents, Washington, DC 20231

  
/ Ruth M. Montalvo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: GK-GEY-1069

Applicant(s): Richard AMELING, Thilo ENDERLE, Christof FATTINGER, Martin GLUCH  
and Hansjoerg TSCHIRKY

Serial No.: (Int'l Ph. of PCT/EP99/05542 filed 30JUL99)

Filed:

For: DEVICE FOR TRANSPORTING AND HANDLING MICROTITER PLATES

National Phase of PCT Application No. PCT/EP99/05542 filed 30 JULY 1999

TRANSMITTED HERewith IS THE FOLLOWING

- X 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
- X 2. UNSIGNED Declaration/POA;
- X 3. Preliminary Amendment (Claims 4/1) with New Abstract;
- X 4. Information Disclosure Statement, Search Reports (PCT/ISA/220 and 210 24NOV99), PTO-1449 with 6 cited documents;
- X 5. Verified English language translation [8 pgs of Specification, 1 pg of Claims and Three (3) Drawings];
- X 6. Forms: Request (PCT/RO/101); PCT/IB/301 27SEP99; PCT/IB/304 6OCT99; PCT/IB/308 17FEB00; Publication No. WO 00/08473 17FEB00 incl. Search Report 24NOV99;
- 7. ~~TO FOLLOW~~ Executed Declaration/POA;
- 8. ~~TO FOLLOW~~ PTO-1619A and Assignment;
- X 9. Check in the amount of \$ 840.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,



Gerald H. Kiel - Reg. No. 25,116

GHK:ram

UNITED STATES PATENT AND TRADEMARK OFFICE ANNEX U.S. 111

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**VERIFICATION OF A TRANSLATION**

I, the below named translator, hereby declare that:

My name and post office address are as stated below:

That I am knowledgeable in the English language and in the language in which the below-identified international application was filed, and that I believe the English translation of the international application PCT/EP99/05542 is a true and complete translation of the above-identified international application as filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date March 14, 2000

Full name of the translator Daniel Cooper

Signature of the translator 

Post Office Address 1310 Felicity Street, New Orleans, LA 70130